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A multi-objective genetic algorithm (MOGA) for hybrid flow shop scheduling problem with assembly operation

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Abstract

Scheduling for a two-stage production system is one of the most common problems in production management. In this production system, a number of products is produced and each product is assembled from a set of parts. The parts are produced in the first stage that is a fabrication stage and thenthey are assembled in the second stage that usually is an assembly stage. In this article the first stage assumed as a hybrid flow shopwith identical parallel machines and the second stage will be an assemble work station. Twoobjective functionsare considered that are minimizing the makespan, and minimizing the sum of earliness andtardiness of products.At first the problem is defined and its mathematical model is presented. Since the considered problem is NPhard, themulti-objective genetic algorithm (MOGA) is used to solve this problem in two phases. In the first phase the sequence of the products assembly is determined and in the second phase the parts of each products is scheduled to be fabricated. In each iteration of the proposed algorithm, the new population is selected based on non-dominance rule and fitness value. To validate the performance of the proposed algorithm, in terms of solution quality and diversity level, various test problems are designed and the reliability of the proposed algorithm is compared with two prominent multi-objective genetic algorithms, i.e. WBGA, and NSGA-II. The computational results show that the performance of the proposed algorithms is good in both efficiency and effectiveness criteria. In small-sized problems, the number of non-dominance solution come out from the two algorithms N-WBGA (the proposed algorithm) and NSGA-II are approximately equal. Also more than 90% solution of algorithms N-WBGA and NSGA-II are identical to the Pareto-optimal result. Also in medium problems, two algorithms N-WBGA and NSGA-II have approximately an equal performance and both of them are better than WBGA. But in large-sized problems, N-WBGA presents the best results in all indicators.

Keywords: Multi-objective Genetic algorithm, Two-stage production system, Assembly

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1-Introduction

Two-stage assembly scheduling problem contains a machining operation that fabricates and prepares the parts (preassembly stage), and an assembly stage that joins the parts into the products. This production system has applications in many industries, and therefore has received increasing attention from many researchers (Sup Sung, &Juhn, 2009; Lin, &Liao, 2012; Allahverdi, A., &Aydilek, H., 2015; Jung, Woo, & Kim, 2017). For example Lee et al. (1993) described an application in a fire engine assembly plant while Potts et al. (1995) described an application in personal computer manufacturing. In particular, manufacturing of almost all items may be modeled as a two-stage assembly scheduling problem including machining operations and assembly operations (Allahverdi et al., 2009). Despite the importance of this problem, the review studied shows that scant attention has been given to solve it, especially in the case of multiple criteria.

In this paper this problem is studiesd in which that the machining operation is done in a hybrid flow shop and there a work station for the assembly operation. Two objectives are considered for this problem: to minimizemakespan, and minimizing the sum of earliness and tardiness of products.

Most of researches in production scheduling are concerned with the minimization of a single criterion. Up to the 1980s, scheduling research was mainly concentrated on optimizing single performance measures such as makespan (C_{max}), total flowtime (F), maximum tardiness (T_{max}), total tardiness (*T*) and number of tardy jobs (n_T)(Arroyo, &Armetano, 2005). C_{max} andF are related to maximizing system utilization and minimizing work-in-process inventories, respectively, while the remaining measures are related to job due dates. However, scheduling problems often involve more than one aspect and therefore require multiple criteria analysis.In allcompanies, each particular department decision makerwants to minimize a special criterion. For examplein a company, the commercial manager is interested satisfying customers and then minimizing the tardiness. On the other hand, the productionmanager wishes to optimize the use of the machinesby minimizing the makespan or the work in processby minimizing the maximum flow time. Each of these objectives is valid from a generalpoint of view. Since these objectives are conflicting, a solution may perform well for one objective,but giving bad results for the others. For this reason,scheduling problems have often a multi-objectivenature (Loukilet al. 2005; Fattahi et al. 2014).

The first study in assembly-type flow-shop scheduling problem was done in 1993 (Lee et al. 1993). A two-stage assembly flow-shop scheduling problem considering a single objective function (C_{max}) was studied by them. They showed that the problem is strongly NP-complete and identified several special cases of the problem that can be solved in polynomial time and suggested a branch and bound solution and also three heuristics. After that Potts et al. (1995) studied and extend the problem with the same objective function as Lee et al. Hariri and Potts (1997) also studied the same problem as Potts et al. with the same objective function and proposed a branch and bound algorithm. Cheng and Wang (1999) considered minimizing the makespan in a two-machine flow-shop scheduling with a special structure and developed several properties of an optimal solution and obtained optimal schedules for some special cases.

In most studies of assembly type scheduling problem, it is assumed that the preassembly stage has a parallel machine format followed by an assembly stage. This production system is named two-stage assembly flow shop. The objective function of these studies is single criterion such as the completion time of all jobs. For example see Koulamas and Kyparisis (2007), Sung and Kim (2008), and Allahverdi and Al-Anzi (2009). In all of these studies it has been shown that the problem is NP-Hard, and hence most of them have presented some approximately solution based on metaheuristic algorithms.

Some studies have been done by Yokoyama (2001, 2008) and Yokoyama et al. (2005) in the assembly type production system in which that the reassembly stage is a two or three stage flowshop. The objective function is still single criterion such asmakespan, mean completion time for all products, and weighted sum of completion time ofeach product. This problem is NP-Hard and they presented some heuristic solutions or B&B algorithm for the special cases of the problem.

Fattahi et al. (2012) introduced the hybrid flow shop with assembly operations for the first time in 2012. Their objective function is makespan and they considered the preassembly stage as a two-stage hybrid flow shop and presented a mathematical model for the considered problem. Since the considered problem is strongly NP-Hard, they presented some heuristic solution that can solve the problem up to 150 products

and 16 parts for each product. A new hybrid improvement algorithm for the finite capacity material requirement planning (FCMRP) system in a flexible flow shop with assembly operations was proposed by Watcharapanand Teeradej (2016). The proposed algorithm is a hybrid of genetic algorithm (GA) and tabu search (TS). There are six primary steps in the proposed algorithm. In step 1, a production schedule is generated by variable lead-time MRP. In step 2, dispatching and random rules are applied to generate initial sequences of orders. From step 3 to step 5, the sequences of orders are iteratively improved by characteristics of TS and GA. Finally, in step 6, the start times of operations are optimally determined by linear programming. The results validate the performance of the proposed algorithm.Allahverdi et al. (2016) also studied the two-stage assembly flowshop scheduling problemwith separate setup times. Their objective considered wasto minimize total tardiness. Some new algorithms have been developed based on different versions of simulated annealing, genetic, andinsertion algorithms by them.

The majority of papers on these problems have concentrated on single-objective problems, while consideration of multiple objectives is more realistic. One of the most differences between this paper and the other similar research is the objective function and solving techniques.

Since multi-objective scheduling problem (MOSP) plays a key role in practical scheduling, there has been an increasing interest in MOSP according to the literature. Hence, there has been a noticeable increase in published the MOSP especiallymulti-objective evolutionary algorithms (MOEA).

Konak et al. (2006) and Sun et al. (2010) presented a review and prospects of multi-objective optimization algorithms. Also Coello et al (2007) presented a comprehensive evolutionary algorithm for solving multi-objective problems. According these studies, being a population-based approach, genetic algorithms (GA) are well suited to solve multi-objective optimization problems. Therefore, GA has been the most popular heuristic approach to multi-objective design and optimization problems.

The first multi-objective GA, called vector evaluated GA(or VEGA), was proposed by Schaffer (1985). Afterwards, several multi-objective evolutionary algorithms were developed including Multi-objective Genetic Algorithm(MOGA), Niched Pareto Genetic Algorithm (NPGA), Weight-based Genetic Algorithm (WBGA), RandomWeighted Genetic Algorithm (RWGA), NondominatedSorting Genetic Algorithm (NSGA), StrengthPareto Evolutionary Algorithm (SPEA), improvedSPEA (SPEA2), Pareto-Archived Evolution Strategy (PAES), Pareto Envelope-based Selection Algorithm(PESA), Region-based Selection in Evolutionary Multiobjective Optimization (PESA-II), Fast NondominatedSorting Genetic Algorithm (NSGA-II),Multi-objective Evolutionary Algorithm (MEA),Micro-GA, Rank-Density Based Genetic Algorithm(RDGA), and Dynamic Multi-objective EvolutionaryAlgorithm (DMOEA) (Coello et al. 2007 and Konak et al. 2006).

To illustrate the novelties of this paper, the following items could be presented:

- To properly address the hybrid flow shop scheduling problem with assembly operation in multiobjective condition. The goals of JIT production management is added as a new objective.
- To develop a mathematical programing model for the considered problem.
- A new extension of MOGA with a new approach in two phases to solve the considered problem in large-sized scale.
- Using both the non-dominated solutions and weighted average of the normalized objectives in the generation transmission of the proposed algorithm.

This paper proceeds as follows: In section 2, the problem is described completely. The multi-objective optimization and some common techniqueswill be expressed in section 3. The proposed solving algorithm is presented in section 4. In section 5, designing of the problems and Computational experiment and results is presented. Finally, a Concluding remarks and summary of the work and direction for the future research are given in section 6.

2- Problem description

The scheduling problem for a two-stage production system with multi-objective criteria is considered in this paper. This system contains a hybrid flow shop stage followed by an assembly stage. Suppose that several products of different kinds (*H*)are ordered andeach product needs a set of parts ($J_h = 1, 2, 3, ..., n_h$) to complete. At first, the parts are manufactured in a two-stage hybrid flow shop. Each part *j* has a fixed processing time P_{lj} on stage *l*. The machines are identical in the hybrid flow shop and the number of machines is K_1 , K_2 on stage one and two of the hybrid flow shop respectively. After manufacturing the parts, they are assembled into the products on an assembly stage. The assembly operationscannot be started for a product until the set of parts is completed in machining operations. The considered objective is to minimize makespan and the sum of earliness and tardiness (C_{max} , $\sum_h E_h/T_h$). Decision variables are sequence of the products to be assembled and also sequence of the parts and assigning them to machines in each stage of the hybrid flow shop to be processed.

One of the applications of this problem is body making of car manufacturing industry. A car making manufactory generally contains the units of production engine, chassis and body. The unit of body making includes a press shop, assembly and painting. The press shop that produces some parts such as doors and roofs has usually a flow shop or hybrid flow shop format.

Usually in these systems the inputs contain raw material, parts or unfinished products that are processed in a hybrid flow shop. When the set of parts of a product complete, they are joined on assembly stage. Typically, buffers are located between stages to store intermediate products and it is supposed that there is no limited in buffer storages. The number of machines in hybrid flow shop stages is free and it can be no equivalent at two stages.

2-1- Notations

The notation of the proposed problem can be introduced as bellow:

- *H* Total number of products
- h Product index $(h = 1, 2, \ldots, H)$
- *n* Total number of parts
- *j* Part index (j = 1, 2, ..., n)
- n_h Total number of parts of product h (h = 1, 2, ..., H)
- *l* Stage index (l=1,2)
- P_{lj} Processing time of machining operations for part j in stage l (l=1, 2)
- K_l Number of parallel machines in stage l
- *k* Machine index,
- A_h Assembly time of product h
- d_h Due date for delivery of product h
- *M* A very big and positive amount

Also variables of the mathematical model are as follow:

- x_{ijkl} 1, if job j is processed directly after job i on machine k in stage l, 0 otherwise,
- x_{0ikl} 1, if job *i* is the first job on machine *k* in stage *l*, 0 otherwise,
- x_{i0kl} 1, if job *i* is the last job on machine k in stage *l*, 0 otherwise,
- $C_j^{(l)}$ Completion time of job *j* in stage *l*,
- F_h Finish time of the parts for *h*th product and ready to assemble

 $S_{h'h}$ 0, if all parts of product h' is ready to assemble before the parts of product h, a positive amount otherwise,

- C_h Completion time of assemble of the product h
- E_h Earliness of completion time of the product h
- T_h Tardiness of completion time of the product h
- D Sum of earliness and tardiness of the products $(D = \sum_{h=1}^{H} (E_h/T_h))$

The problem is to decide about sequencing of the products and their parts, and the objective function of the considered problem is expressed as:

 $\operatorname{Min} Z = \left\{ \max(C_h), \sum_{h=1}^{H} (E_h/T_h) \right\} \text{ or } \{C_{\max}, D\}$

While D is a function of earliness and tardiness and computed as (1)

$$D = \left\{ \sum_{h=1}^{H} [max(0, C_h - d_h) + max(0, d_h - C_h)] \right\}$$
(1)

2-2- Assumptions

- (1) All parts are available at time zero.
- (2) There are two series satge with some parallel machines in each stage for fabrication the parts.
- (3) The parallel machines in each stage are uniform.
- (4) If product *h* is going to be assembled before product *h'*, then, on each stage, processing of any part of product *h'* doesn't start before starting the processing of all parts for product *h*.
- (5) Assembly operations for a product will not start until all parts of its product are completed.
- (6) When assembly operations of a product is start, it doesn't stop until completed (no preemption in assembly stage)
- (7) There is no limited in buffer storages

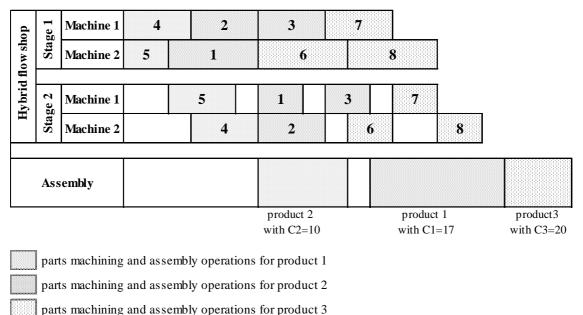
2-3- Numerical example

In order to clarify the problem, consider a simple numerical example as table 1. Assume that there are two machines at stage 1 and two machines at stage 2. Also, there is an assembly stage at the end of the production system. Total number of products is H = 3 and the data for parts and their processing time of machining operations and assembly are given in table 1.

				Products	and part	S		
Stage (l)	Product 1		1	Product 2		I	Product 3	
	<i>j</i> =1	<i>j</i> =2	<i>j</i> =3	<i>j</i> =4	<i>j</i> =5	<i>j</i> =6	<i>j</i> =7	<i>j</i> =8
Stage 1	4	3	3	3	2	4	3	4
Stage 2	2	3	2	3	3	2	2	2
Assembly		6		Z	1		3	
Due date		16		1	2		22	

Table 1. Processing	time of machining	and assembly

One solution for scheduling the products and their parts is shown in figure 1. According this solution, the products will be produced in a sequencing of 2-1-3 and scheduling the parts of each product is according their numbers in both of two stages of the hybrid flow shop. This solution leads in result of $C_{max} = 20$, D = 5.



is machining and assembly operations for product 5

Fig 1. A solution of the example with $C_{max} = 20$ and D = 5

2-4- Mathematical modeling

The mathematical definition of a multi-objective problem (MOP) is important in providing a foundation of understanding between the interdisciplinary nature of deriving possible solution techniques (deterministic, stochastic); i.e., search algorithms. Fattahi et al. (2012)presented a mathematical model for the assembly flexible flow shop scheduling problem with a single objective. Their model is developed for the considered problem in this study. Thus, there is not one unique solution but a set of solutions. Theses set of solutions is found through the use of Pareto Optimality Theory (Coello et al. 2007).Note that multi-objective problems require a decision maker to make a choice of x^* values. The selection is essentially a tradeoff of one complete solution x over another in multi-objective space.

Based on the notations, the mathematical formulation of the problem is presented as follows:

$$\operatorname{Min} Z_1 = \mathcal{C}_{max} \tag{2}$$

$$\operatorname{Min} Z_2 = D \tag{3}$$

Subject to:

$$\sum_{i=0,i\neq j}^{n} \sum_{k=1}^{K_l} x_{ijkl} = 1 \qquad \qquad j=1,2,3,\dots,n \quad l=1,2$$
(4)

$$\sum_{j=0}^{n} x_{ijkl} \le 1 \qquad \qquad i=0,1,2,3,\dots,n \qquad l=1,2 \\ k = 1,2,\dots,K_l \qquad (5)$$

$$\sum_{i=0, i\neq h}^{n} x_{ihkl} - \sum_{j=0, j\neq h}^{n} x_{hjkl} = 0 \qquad \qquad h=1,2,3,\dots,n \quad l=1,2 \\ k = 1,2,\dots,K_l \qquad \qquad (6)$$

$$C_{i}^{(l)} + \sum_{k=1}^{K_{l}} x_{ijkl} \times P_{lj} + \left(\sum_{k=1}^{K_{l}} x_{ijkl} - 1\right) \times M \le C_{j}^{(l)} \qquad i=1,2,3,\dots,n \quad j=1,2,3,\dots,n \quad l=1,2 \quad (7)$$

$$C_{j}^{(1)} + P_{2j} \le C_{j}^{(2)} \qquad j=1,2,3,\dots,n \quad (8)$$

$$C_i^{(2)} \le F_h$$
 $\forall j \in \{J_h\}$, $h=2,3,4,...,H$ (9)

$$F_h + A_h \le C_h \tag{10}$$

$$C_{h'} + A_h - S_{h'h} \times M \le C_h$$
 $h, h' = 1, 2, 3, ..., H, h' \ne h$ (11)

$$S_{h'h} = \begin{cases} 1 & if \ F_{h'} \ge F_h \\ 0 & otherwise \end{cases} \qquad h, h' = 1, 2, 3, \dots, H, h' \neq h$$
(12)

$$C_{h} \le C_{\max} \qquad \qquad h=1,2,3,\dots,H \tag{13}$$

$$E_h \ge (d_h - C_h)$$
 $h=1,2,3,...,H$ (14)

$$T_h \ge (C_h - d_h) \qquad \qquad h=1,2,3,\dots,H$$
(15)

$$D = \sum_{h=1}^{\infty} [E_h + T_h] \qquad h=1,2,3,\dots,H$$
(16)

$$x_{ijkl} \in \{0,1\}$$

$$i=1,2,3,...,n , j=1,2,3,...,n , l=1,2$$

$$k \le 1,2,...,K_l$$
(17)

$$C_{j}^{(l)} \ge 0$$
 $j=1,2,3,...,n$ $l=1,2$ (18)

$$E_h \ge 0$$
 $h=1,2,3,...,H$ (19)

$$T_h \ge 0$$
 $h=1,2,3,...,H$ (20)

Equations (2) and (3) determine the objective functions of the given problem that are minimizing the maximum completiontime (makespan) and the sum of earliness and tardiness, respectively. Constraints (4), (5) and (6) ensure that each part is processed precisely once at each stage. In particular, constraint (4) guarantees that at each stage l for each part J_j there is a unique machine such that either J_j is processed first or after another job on that machine. The inequalities (5) imply that at each stage there is a machine on which a part has a successor or is processed last. Finally, at each stage for each part there is one and only one machine satisfying both of the previous two conditions by (6).

Constraints (7) and (8) take care of the completion times of the parts at stage 1, 2. Inequalities (7) ensure that the completion times C_i^l and C_j^l of parts *i* and *j* scheduled consecutively on the same machine respect

this order. Inequality (8) implies that the parts go through the stages in the right order, i.e. from stage 1 to stage 2. Inequalities (9) take care of the start times of the products at the assembly stage. The inequalities (10), (11), and (12) express the completion time of products. Inequalities (11) and (12) ensure that the completion time of product h and h' scheduled consecutively on the assembly stage respect this order.

The constraint that the makespan is not smaller than the completion time of any product is expressed by constraints (13). Calculating the earliness and tardiness is shown in equations (14), and (15), respectively and the equation (16) presents the sum of earliness and tardiness for all products. The last four constraints specify the domains of the decision variables.

3- The Multi-objective Optimization

3-1- The Multi-objective Optimization Problem

The *Multi-objective Optimization Problem* (MOP) that also called multi-criteria optimization, multiperformance or vector optimization problem, can be defined (in words) as the problem of finding (Coello et al 2007):

A vector of decision variables which satisfies the constraints and optimizes a vector function whose elements represents the objective functions. These functions form a mathematical description of performance criteria which are usually in conflict with each other. Hence, the term "optimizes" means finding such a solution which would give the values of all the objective functions acceptable to the decision maker.

The decision variables are the numerical quantities for which values are to be chosen in an optimization problem. These quantities are denoted as X_j , j = 1, 2, 3, ..., n.

The vector X of n decision variables is represented by:

$$X = (x_1, x_2, x_3, \dots, x_n)$$

Where n indicates the number of variables. Also the vector function f(x) of k objectives is represented by:

$$f(x) = [f_1(x), f_2(x), f_3(x), \dots, f_k(x)]$$

Having several objective functions, the notion of "optimum" changes, because in MOPs, the aim is to find good compromises (or "trade-offs") rather than a single solution as in global optimization. In this condition the optimum concept is also changed and the most commonly accepted term is Pareto-optimal. In words, X^* is a solution of thePareto-optimal if there exists no feasible vector X which would decrease some criteria without causing a simultaneous increase in at least one other criterion (assuming minimization). The objective vectors corresponding of the Pareto-optimal solutions are termed non-dominated. A general definition of dominance solution is as follows (Karimi et al. 2010):

A vector $U = (u_1, u_2, u_3, ..., u_k)$ is said to dominate $V = (v_1, v_2, v_3, ..., v_k)$ if and only if U is partially less than V, i.e.

 $\forall i \in \{1, 2, 3, \dots, k\}, u_i \leq v_i \land \exists i \in \{1, 2, 3, \dots, k\}, u_i < v_i.$

When a vector is dominated by no other solutions, it is called non-dominated vector and the nondominated vectors are collectively known as the Pareto-front. Generally in MOPs, the main goal is to find the Pareto-frontand the decision maker select one of the solution on Pareto-frontbase on more analysis and trade-offs.

The normal procedure to generate the Pareto-frontis to compute many points in solution space Ω and their corresponding objective space $f(\Omega)$. When there are a sufficient number of these, it is then possible to determine the non-dominated points and to produce the Pareto-front.

3-2- The Multi-objective Optimization techniques

There are many approaches that can be used for solving MOPs, that is, to find the Pareto-optimal solutions or at least an approximation to it. These algorithms can be categorized into two major classes of algorithms, the exact and the approximate (heuristics).

Exact algorithms are guaranteed to find an optimal solution and to prove its optimality for every instance of an MOP. The run-time, however, often increases dramatically with the instance size, and often only small or moderately-sized problems can be solved in practice to provable optimality.

Two exact methods are described as below:

The linear Combination of Weights

This method combines multiple objectives into an aggregated scalar objective function by multiplying each objective function by a weighting factor and summing up all terms. The new objective function will be as (21):

$$Z = \min \sum_{i=1}^{k} w_i f_i(X) \tag{21}$$

Subject to:

 $X\in \Omega$

Where $w_i \ge 0$ for all *i* and $\sum_i w_i = 1$. By varying these weights we can find all non-dominated points, for problems with a convex non-dominated set.

The ϵ – Constraint Method

The ϵ – Constraint is another well known technique used to solve MOPs. In this approach, we optimize one of the objective functions using the other objective functions as constraints. Then by varying constantly the constraint bounds we can obtain all non-dominated points. The ϵ – Constraint problem can be formulated as (22):

(22)

$$Z = \min(f_j(X))$$

Subject to:

$$f_i(X) \leq \varepsilon_i fori = 1, 2, 3, \dots, kandi \neq j$$

Where ε_i are assumed values of the objective functions that must not be exceeded. The idea of this method is to minimize one (the most preferred or primary) objective function at a time, considering the other objectives as constraints bound by some allowable levels ε_i . By varying these levels ε_i , the non-inferior solutions of the problem can be obtained.

Heuristics are simple procedures that provide good feasible solutions in a reasonable computation time, but not necessarily an optimal one. In harder problems, with many objectives and large instances, the exact algorithms might not be able to solve it or when they do it they take too much time. Hence, many metaheuristic have been implemented to obtain good solution in acceptable time. Among these techniques, the potential of evolutionary algorithms for solving multi-objective optimization problems was hinted as early as the late 1960s by Rosenberg in his PhD thesis (Coello et al. 2007). After that many researcher implement the evolutionary algorithms especially genetic algorithms (GAs) to solve the NP-Hard problems.

Konak et al. (2006) presented an overview and tutorial of genetic algorithms developed for problems with multiple objectives. The first multi-objective GA, called vector evaluated genetic algorithm (VEGA), was proposed by Schaffer. After that, several multi-objective evolutionary algorithms were developed and implemented.

3-3- Fitness assignment and diversity mechanism

Implementation of GA in multi-objective optimization needs two important considerations: *Fitness* assignment and diversity mechanism.

3-3-1- Fitness assignment

Fitness assignment is used to rank the solutions in order to select the parents, doing the crossover and mutation operations and select the new population in each generation.

The simplest and classical approach to fitness assignment of solutions in MOGA is to assign a weight w_i to each normalized objective function $f'_i(X)$ so that the problem is converted to a single objective problem with a scalar objective function as follows:

Min
$$Z = w_1 f'_1(X) + w_2 f'_2(X) + \dots + w_k f'_k(X)$$

Where $f'_i(X)$ is the normalized objective function $f_i(X)$ and $\sum w_i = 1$. The main difficulty with this approach is selecting a weight vector for each run.

Another fitness assignment is altering objective functions. In this method the population P_t is randomly divided into *K* equal sized sub-populations ($P_1, P_2, ..., P_K$,). Each solution in subpopulation P_i Assigns a fitness value based on the objective function Z_i . Solutions are selected from these subpopulations using proportional selection for crossover and mutation.

The third technique is Pareto-ranking approaches that utilize the concept of Pareto-dominance in evaluating fitness or assigning selection probability to solutions. The population is ranked according to a dominance rule, and then each solution is assigned a fitness value based on its rank in the population. The first Pareto-ranking technique was proposed by Goldberg (Ehrgott 2005) and after that several methods have been proposed (see Coello et al. 2007).

3-3-2- Diversity mechanism

Diversity mechanism is need to obtain solutions uniformly distributed over the Pareto-front. Without taking preventive measures, the population tends to form relatively few clusters in multi-objective GA. This phenomenon is called genetic drift, and several approaches have been devised to prevent genetic drift as follows.

The first approach is fitness sharing that encourages the search in unexplored sections of a Pareto-front by artificially reducing the fitness of solutions in densely populated areas. To achieve this goal, densely populated areas are identified and a penalty method is used to penalize the solutions located in such areas. The second approach is crowding distance that aim to obtain a uniform spread of solutions along the bestknown Pareto-front without using a fitness sharing parameter.

Finally the third approach is cell-based density. In this approach the objective space is divided into Kdimensional cells. The number of solutions in each cell is defined as the density of the cell, and the density of a solution is equal to the density of the cell in which the solution is located. This density information is used to achieve diversity similar to the fitness sharing approach.

4- The proposed solving algorithm

4-1- General scheme of the proposed algorithm

Multi-objective optimization was originally conceived with finding Pareto-optimal solutions (Pareto, 1981), also called efficient solutions. Such solutions are non-dominated, i.e., no other solution is superior to them when all objectives are taken into account. Since in GA a population-based approach is used, it is well suited to solve multi-objective optimization problems, and hence several multi-objective evolutionary algorithms were developed after presenting the first multi-objective GA by Schaffer (Coello et al. 2007). Therefore a heuristic based on GA is proposed for the considered problem in this paper. The pseudo-code of the proposed algorithm is as algorithm (1):

Algorithm 1 The pseudo code of the proposed algorithm

- 1) Step 0: Initialization (population size, fitness, crossover and mutation operations, and stopping criterion)
- 2) Step 1: Start with an initial population P_0 and set t = 0.
- 3) Step 2: If the stopping criterion is satisfied, return Pt.
- 4) Step 3: Evaluate the fitness value of the population as weighted sum approach.
- 5) Step 4:Use a stochastic selection method based on fitness value to select parents.
- 6) Step 5: Apply crossover and mutation on parents to generate child population Q_t
- 7) Step 6: Evaluate fitness value of the Q_t as weighted sum approach.
- 8) Step 7: Set t = t + 1 and select P_t from P_t and Q_t according the nondominance property and the fitness values to create new generation (see section4.6).
- 9) Step 8: Go to step 3

4-2- Solution representation

Implementation a metaheuristic needs to decide how to represent and relate solutions in an efficient way to the searching space. Representation should be easy to decode and calculate to reduce the run time of algorithm. In the considered problem, several products (*H*) of different kinds are ordered to be scheduled and produced. Each product needs a set of parts ($J_h = 1, 2, 3, ..., n_h$) to complete that fabricated in a hybrid flow shop.

According the assumption (3), If product h is going to be assembled before product h', then, process operations of all parts of the product h' doesn't start before processing of all parts of the product. Hence in proposed algorithm the product and the parts are scheduled in two phases separated. During phase 1, the sequence of the products is determined and then, sequencing of the parts is done for each product in phase 2. For example consider the numerical example that illustrated in section 2.3. Two steps of scheduling of this problem can be done as figure 2.

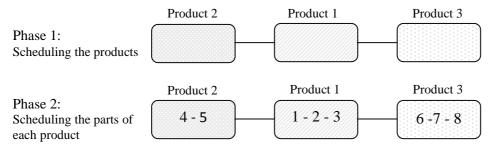


Fig 2. An example of the two-phases scheduling

In order to coding the solutions as chromosomes in GA proposed algorithm, each solution (sequence)isconsidered in a two-row matrix that the above row shows the number of products and the below indicates the parts of the above product. For example the sequence of the figure 3 is shownas figure 3.

Product number:	2	2	1	1	1	3	3	3	
Part number:	4	5	1	2	3	6	7	8	
Fig 3 Dr	aconta	tion the	a produ	lote an	d thair	narte	a a ch	romos	

Fig 3.Presentation the products and their parts as a chromosome

The schedule of the parts in each product is considered only on stage 1. After that, each part that release earlier from stage 1, will process on stage 2.

The chromosome and probability of crossover and mutation is defined as below:

Chromosome: Each sequence of all products including sequence of their parts.

 P_{CPr} : Probability of crossover operation on products in each chromosome.

 P_{CPa} : Probability of crossover operation on the parts of each product in every chromosome.

 P_{MPr} : Probability of mutation operation on products in each chromosome.

 P_{MPa} : Probability of mutation operation on the parts of each product in every chromosome.

Crossover and mutation operation is implemented on product and their parts in each chromosome separately.

4-3- Initialization

The best value of the parameters for the proposed algorithm is obtained using Taguchi settings considering plan of L_n in three levels. In order to determine the best combination of these parameters, three levels of each parameters was examined as table 2.

parameter	Number of level	Test values
N	3	35,40,45
P _{CPr}	3	0.95, 0.97, 0.99
P _{MPr}	3	0.05. , 0.07 , 0.1
P _{MPa}	3	0.1. , 0.15 , 0.2

Table 2. The values of the parameters of hybrid proposed algorithm

Due to the considered problem is a two-objective problem, the *MID* index is used to determine better solution as equation (23).

$$MID = \frac{\sum_{i=1}^{n} c_{i}}{n}$$
(23)
when $c_{i} = \sqrt{f_{i1}^{2} + f_{i2}^{2}}$
 $\forall i = 1, 2, ..., n$

This index is calculated for each set of pareto solution. So, for each 27 cases of Taguchi plan a number will be obtained. Based on this number, comparison the Relative Percentage Deviation (RPD) will be possible.

Finally, after doing experiments, the best combination of the parameters for the proposed algorithm was determined as below:

N = 40 $P_{CPr} = 0.95$ $P_{CPa} = 0$ $P_{MPr} = 0.1$ $P_{MPa} = 0.2$ Also in order to

Also, in order to reduce the run time of algorithm, it is better to do mutation operator only on the parts. Hence, it supposed that $P_{CPa} = 0$.

The stopping criterion is the number of iterations and it is considered as a variable that is equal to the number of products in each problem but it must be at least 30 iterations.

The fitness value is calculated as the weighted sum approach. Hence, at first the objective functions have to be normalized. We define $f_m(x)$ and $f_d(x)$ as objective function for the makespan and deviation of due

date respectively. Therefore the normalized of these two objective functions for each solution is calculated as (24) and (25):

$$f'_{m}(x) = \frac{\frac{1}{f_{m}(x)}}{\sum_{x \in P_{t}} \frac{1}{f_{m}(x)}}$$
(24)

$$f_{d}'(x) = \frac{\frac{1}{f_{d}(x)}}{\sum_{x \in P_{t}} \frac{1}{f_{d}(x)}}$$
(25)

Finally the fitness value (fv) for each solution (x) is obtained as (26):

$$fv(x) = w_1 \times f'_m(x) + w_2 \times f'_d(x)$$
(26)

The weight of w_1 and w_2 is assumed the same and equal to 0.5.

4-4- The initial population P₀

Most evolutionary algorithms use a random procedure to generate an initial set of solutions. However, since the output results are strongly responding to the initial set, it is better that some of the initial solutions identified as suitable rules. Hence, in initial population three solutions are determined in regulative as below and the others are generated randomly.

- One solution is determined based on the earliest due date (EDD) of the product.
- The second solution is determined according non-increasing in assembly time.
- The third is determined according non-decreasing in assembly time.

After generation the initial sequencing for the products, all of the parts are scheduled randomly.

4-5- Selection, recombination and mutation

Selection is done based on the roulette-wheel rule. After calculation the fitness value (fv(x)) for the solutions as equation (25), the operation of the roulette-wheel is done to select the parents.

There exist a variety of crossover operators for recombination that are suitable for the scheduling problems. We tested some of them and finally two operators that were selected for the proposed algorithm are: one-point crossover (1PX), and two-point crossover (2PX).

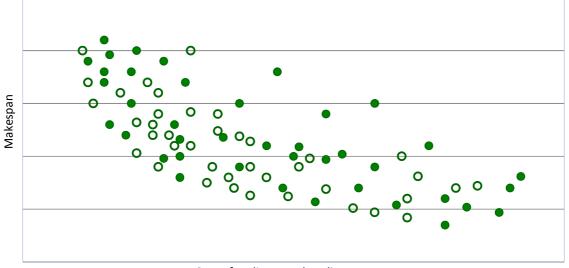
The mutation operator used here is the insertion operator, which randomly selects a product or a part in the sequence and inserts it in a random position of the sequence.

4-6- Selection the new generation P_{t+1}

The new generation P_{t+1} is selected from the current generation and the offspring $(P_t \cup Q_t)$ based on nondominance rule and also considering the fitness values. In other word, first all of the solutionsofthe nondominated set are selected for the new generation and the remained required solution is selected from the points with the more fitness value to complete the new generation P_{t+1} .

Figures 4 and 5 show an example of this rule in selection a new generation. Figure 4 shows P_t (the current generation) and Q_t (the offspring). As the above rule, the new generation is selected as it is shown in figure 5. When the solutions of the non-dominated set is more than the population size, only those that are maximally apart from their neighbors according to the crowding distance are chosen.

Figure 6 presents a graphical illustration of crowding for an example and the computations are done as (27) to (29).



• Pt (current generation) OQt (the offspring)

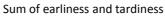
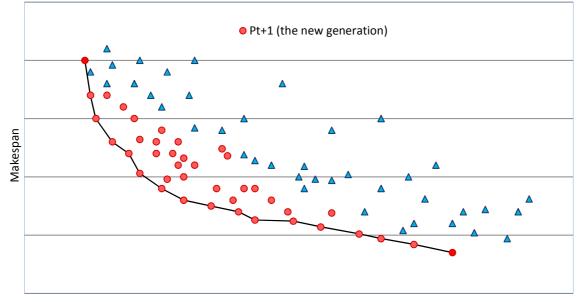


Fig 4. Forty solutions with maximum of the fitness value between total parents and their offspring



Sum of earliness and tardiness

Fig 5. Selection the new generation oftotal parents and their offspring

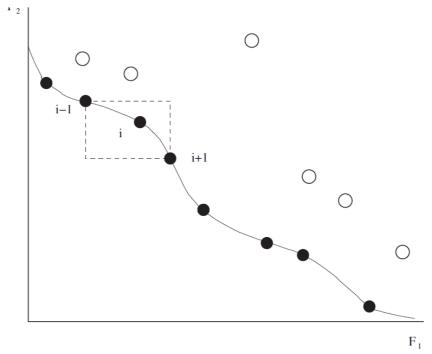


Fig 6. A graphical illustration of crowding

$$d_{i}^{1} = \frac{f_{1}(x_{i+1}) - f_{1}(x_{i-1})}{f_{1}^{max} - f_{1}^{min}}$$

$$d_{i}^{2} = \frac{f_{2}(x_{i+1}) - f_{2}(x_{i-1})}{f_{2}^{max} - f_{2}^{min}}$$
(27)
(28)

$$d_i = d_i^1 + d_i^2 \tag{29}$$

The proposed algorithm that used both the non-dominated solutions and weighted average of the normalized objectives based on the genetic algorithm is called as N-WBGA in this article.

5- Computational experiments and results

In this section, the computational experiments are carried out in order to evaluate the performance of the proposed algorithm. The tests have been performed on various condition of the problem. The considered algorithms are coded in MATLAB 7/10/0/499 (R2010a). The experiments are executed on a Pc with a 2.0GHz Intel Core 2 Duo processor and 1GB of RAM memory.

5-1- Design of problems

To show the efficiency of the proposed algorithm, it is necessary to design problems in a variety wide of conditions and test the proposed algorithm by them. Hence, the processing and assembly times have been generated from a discrete uniform distribution with a defined range to provide three conditions: (a) the hybrid flow shop is a bottleneck, (b) the assembly stage is a bottleneck, and (c) there is a balance condition between two stages. In order to evaluate the algorithms, each problem has been run ten times and solved

by the algorithms. In each run, the processing and assembly times have been generated in a defined ranges randomly.

Also, in the scheduling problems that the earliness and tardiness are considered in the objective function, the problems are designed in a variety wide of due date. Hence the researchers have considered two significant factors consisting the tardiness (τ) and the range of due date (R) in these problems (Moslehi et al. 2009). Generally, by considering these two factors, the due dates can be obtained as (30):

$$d = \left[\left(1 - \tau - \frac{R}{2} \right) \times M , \left(1 - \tau + \frac{R}{2} \right) \times M \right]$$
(30)

Researchers usually design the problems by changing the factors τ and R. Moslehi et al.(2009), present that when $\tau = 0.2$ and = 0.6, the primary jobs of the sequence have earliness, and the remaining ones often have tardiness. This combinatorial is considered in this study and so due date of the product is defined within a discrete uniform distribution with a rangeof [0.5M, 1.1M]. Mis the maximum completion times of all jobs that usually is obtained from an exist algorithm and we use the GRASP algorithm to obtain M.

Problem size	Problem name	Number of products	Number of parts	<i>K</i> ₁	<i>K</i> ₂	P _{lj}	A _h
Small	S 1	5	2	2	1	[25, 75]	[50, 100]
	S2	5	2	1	2	[25, 75]	[50, 100]
	S 3	5	2	2	2	[25, 75]	[50, 100]
	S 4	10	3	3	2	[25, 75]	[50, 100]
	S 5	10	3	2	3	[25, 75]	[50, 100]
	S 6	10	3	3	3	[25, 75]	[50, 100]
Medium	M1	25	5	4	3	[25, 75]	[50, 100]
	M2	25	5	3	4	[25, 75]	[50, 100]
	M3	25	5	4	4	[25, 75]	[50, 100]
	M4	50	7	5	4	[25, 75]	[50, 100]
	M5	50	7	4	5	[25, 75]	[50, 100]
	M6	50	7	5	5	[25, 75]	[50, 100]
Large	L1	100	10	6	5	[25, 75]	[50, 100]
	L2	100	10	5	6	[25, 75]	[50, 100]
	L3	100	10	6	6	[25, 75]	[50, 100]
	L4	150	12	7	6	[25, 75]	[50, 100]
	L5	150	12	6	7	[25, 75]	[50, 100]
	L6	150	12	7	7	[25, 75]	[50, 100]

Table 3. The test problems

The testing data is divided into the small problems, the medium problems, and the large problems by changing the parameters. The following parameters are considered to design and generate these problems totally:

Numbers of jobs (*H*): 5, 10, 25, 50, 100, and 150.

Number of parts for each product $h(n_h)$: 2, 3, 5, 7, 10, and 12.

Number of machines on stages of HFS (K_l) : 1, 2, 3, 4, 5, 6, and 7.

Process time of the parts on stages 1 and 2 (P_{lj}): generated from the discrete uniform distribution with a range of [25, 75].

Assembly times of a product (A_h) : generated from the discrete uniform distribution with a range of [50, 100].

Due date for delivery of product h (d_h) : generated from the discrete uniform distribution with a range of [0.5M, 1.1M].

By combination of all parameters, the problems and their data are defined as table 3.

5-2- Comparisons of results

This section presents the results of the algorithm described in section 4. Each problem has been run ten times by the algorithm. The best and the average of results obtained of ten runs of each problem areevaluated in this section. The performance of the proposed algorithm (N-WBGA) in solving the problems is evaluated in comparison of the result of the mathematical model and also two powerful techniques WBGA and NSGA-II.

5-2-1-Small-sized problems

At first, the experiment is carried out on the small-sized problems. The proposed algorithm is applied to the small-sized problems and its performance is compared, based on some comparison metrics, with the two other multi-objective genetic algorithms WBGA and NSGA-II. In these comparisons the Pareto-optimal is needed that is obtained from the mathematical model.

There are a number of methods available to compare the performance of different algorithms. Rahimi-Vahedet al.(2007) and many other researchers use the number of Pareto-solutions as a quantitative measure of the performance of the algorithms studied. The Overall Non-dominated Vector Generation (ONVG), the Overall Non-dominated Vector Generation Ratio (ONVGR), the error ratio (ER), and thegenerational distance (GD) are also used as the performance measure indicators when the Pareto-optimal solutions areknown (Coello et al. 2007). The comparison metrics that we implemented are explained in the next sections.

5-2-1-1- Number of pareto-optimal solutions

The first result about the performance of the proposed algorithm is presented in figure 7. This figure shows the number of pareto-optimal solution that the proposed algorithm could find in comparision of total number. The total number is obtained by solving the mathematical model. This result shows a good performance of the proposed algorithm in this index.

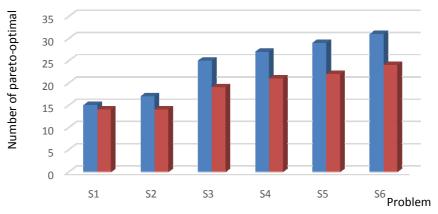


Fig 7. Finding pareto-optimal solutions using the proposed algorithm

5-2-1-2- Overall Non-dominated Vector Generation (ONVG)

The Overall Non-dominated Vector Generation (ONVG) measures the total number of non-dominated vectors found during algorithm execution. This Pareto-non-compliant metric is defined as equation (31).

$$ONVG = |PF_{known}|$$

(31)

5-2-1-3- Overall Non-dominated Vector Generation Ratio (ONVGR)

Overall Non-dominated Vector Generation Ratio (ONVGR) measures the ratio of the total number of non-dominated vectors found PF_{known} during algorithm execution to the number of vectors found in PF_{true} . This metric indicator is calculated as equation (32).

$$ONVGR = \frac{|PF_{known}|}{|PF_{true}|}$$
(32)

When ONVGR = 1, this states only that the same number of points have been found in both PF_{true} and PF_{known} . It does not infer that $PF_{true} = PF_{known}$.

5-2-1-4- Error Ratio (ER)

After finishing the solving process, the number of solutions on the finalPareto-front (PF_{known}) is termed as $|PF_{known}|$ and the number of solutions on the optimumPareto-front (PF_{true}) is termed as $|PF_{true}|$. The Error Ratio (ER) metric reports the number of solutions on the final Pareto-front $(|PF_{known}|)$ that are not members of the optimum Pareto-front $(|PF_{true}|)$ [21]. This metric which is Pareto-compliant, requires that PF_{true} is known and that the proposed algorithm approaches the Pareto-front. In this study the lingo is used to obtain the PF_{true} for the small problems according the proposed mathematical modeling and varying the w_i . After determining the PF_{known} by proposed algorithm and the PF_{true} by lingo, **ER** is calculated as (33).

$$ER = \frac{\sum_{i=1}^{|PF_{known}|} e_i}{|PF_{known}|}$$
(33)

Where e_i is one if the ith vector of PF_{known} is not an element of PF_{true} . When ER = 1, this indicates that none of the points in PF_{known} are in PF_{true} , that is none solutions outcome from the proposed algorithm is positioned on the optimum Pareto-front. On the other hand, when ER = 0, the PF_{known} is the same as PF_{true} .

5-2-1-5- Generational distance (GD)

The Generational Distance (GD) reports how far, on average, PF_{known} is from PF_{true} . This indicator is mathematically defined as equation (34).

$$GD = \frac{\sqrt{\sum_{i=1}^{n} d_i^2}}{|\mathbf{PF}_{known}|} \tag{34}$$

Where $|PF_{known}|$ is the number of vectors in PF_{known} , and d_i is the Euclidean phenotypic distance between each member, *i*, of **PF**_{known} and the closest member in **PF**_{true} to that member.

The values of the above indicator according the best solutions often run for each small problems is presented as tables4 and 5.

The results show that the proposed algorithm has a better performance in comparison to the WBGA but the NSGA-II presented the best results. According to table4, the number of non-dominance solution come out from the two algorithms N-WBGA and NSGA-II are approximately equal. Also table 5, shows that more than 90% solution of algorithms N-WBGA and NSGA-II are identical to the Pareto-optimal result.

		ONVG			ONVGR	
Problem	WBGA	N- WBGA	NSGA-II	WBGA	N- WBGA	NSGA-II
S 1	12	14	14	1	1	1
S2	13	13	13	1	1	1
S3	19	20	21	0.90	1	1
S4	22	22	22	0.87	1	1
S5	21	22	23	0.88	0.92	1
S6	26	28	28	0.84	0.90	0.90

Table 4. Comparison of the ONVG and ONVGR

Table 4. Comparison of the Error Ratio (ER) and Generational Distance (GD)

		ER			GD	
Problem	WBGA	N- WBGA	NSGA-II	WBGA	N- WBGA	NSGA-II
S 1	0.14	0.00	0.00	0.21	0.00	0.00
S2	0.15	0.00	0.00	0.23	0.00	0.00
S 3	0.05	0.05	0.00	0.14	0.20	0.00
S 4	0.13	0.04	0.04	0.31	0.19	0.16
S5	0.17	0.08	0.04	0.34	0.18	0.17
S6	0.16	0.10	0.10	0.31	0.22	0.22

5-2-2- Medium and large-sized problems

It is impossible or very time complexity for the medium and large-sized problems to find the Paretooptimal solutions. Therefore, the comparison metrics which are used in the medium and large-sized problems must be restricted to indicators that don't need to Pareto-optimal solutions. Hence, in this section two indicators Overall Non-dominated Vector Generation (ONVG) and Spacing (S) are used to evaluate performance of the proposed algorithm in solving the Medium and large-sized problems.

5-2-2-1- Overall Non-dominated Vector Generation (ONVG)

The Overall Non-dominated Vector generation (ONVG) as was explained in section 5.2.1.1., measures the total number of non-dominated vectors found during algorithm execution.

5-2-2-2 Spacing (S)

The spacing (S) metric numerically describes the spread of the vectors in PF_{known} . In other word, this indicator measures the distance variance of neighboring vectors in PF_{known} as equation (35).

$$S = \sqrt{\frac{1}{|PF_{known}| - 1}} \times \sum_{i=1}^{|PF_{known}|} (d_i - \bar{d})^2 \quad for \ i, j = 1, 2, 3, ..., n$$
(35)

Where d_i indicates distances between the i^{th} solution from the nearest solution to it and is calculated as equation (36).

$$d_{i} = min_{j} \left(\left| f_{1}^{i}(x) - f_{1}^{j}(x) \right| + \left| f_{2}^{i}(x) - f_{2}^{j}(x) \right| \right) \quad for \ i, j = 1, 2, 3, \dots, n$$
(36)

In equation (32), $f_1(x)$ and $f_2(x)$ can be supposed as the makespan and sum of earliness and tardiness in the considered problem.

Also \overline{d} is the mean of all d_i and n is is the number of vectors in PF_{known} .

Table 6represents the average values of the two above mentioned metrics in medium and large problems. As illustrated in this table, the NSGA-II algorithm has the best performance. Also the proposed N-WBGA shows better performance than.

Table 6. Comparison of the Overall Non-dominated Vector Generation (ONVG) and Spacing (S)

D 11			ONVG			S	
Proble	m	WBGA	N-WBGA	NSGA-II	WBGA	N-WBGA	NSGA-II
Medium	M1	101.2	125.3	129.2	9.15	7.52	6.95
	M2	100.5	131.4	133.5	8.41	6.31	6.01
	M3	111.8	120.9	125.8	8.93	7.08	6.78
	M4	121.5	143.4	144.1	9.23	7.72	7.71
	M5	119.8	145.6	145.2	9.02	6.96	7.02
	M6	131.6	152.7	154.7	9.82	8.02	7.92
Large	L1	158.4	195.6	197.1	11.16	9.25	8.85
	L2	156.2	206.4	202.8	11.21	9.15	9.20
	L3	159.6	199.7	199.2	11.52	8.95	9.05
	L4	163.4	207.6	207.3	11.91	10.01	9.51
	L5	168.4	209.8	211.4	11.72	10.65	10.11
	L6	172.1	212.1	212.6	12.67	10.17	10.12

Table7 presents the average of computational times spent by algorithms after 10 generations executed in each test problem. As illustrated in this table, the proposed N-WBGA consumes less computational time than the others in all categories of problems. Because of the implemented structure of the calculations, the higher value of computational time of the NSGA-II is reasonable specially for the small-sized problems.

Problem		Algorithm	
Problem	WBGA	N-WBGA	NSGA-II
Small	35	31	42
Medium	325	305	315
Large	1758	1476	1495

Table 7. Run time of the algorithms

In total, the difference in computational time of two algorithms N-WBGA and NSGA-II is insignificant. So, the performance measures used in comparisons show that NSGA-II outperforms the other proposed algorithms.

6- Conclusion and future studies

In this paper a multi-objective scheduling problem was studied for a two-stage production system including a hybrid flow shop and an assembly stage. In this production system it is assumed that several products of differentkinds are ordered to be produced. The parts are manufactured in the hybrid flow shop and then the products are assembled in the assembly stage after preparing the parts. Two objective functions are considered simultaneously that are: (1) to minimizing the completion time of all products (makespan), and (2) minimizing the sum of earliness and tardiness of all products ($\sum_i (E_i / T_i)$). Since this problem is NP-hard, a new multi-objective algorithm based on GA was designed for searching locally Pareto-optimal frontier for the problem. Various test problems were designed and the reliability of the proposed algorithm was presented in comparison two algorithms WBGA, and NSGA-II. The computational results show that the performance of the proposed algorithms is good in both efficiency and effectiveness.

For the future works, we recommend to address the problem with uncertain processing or setup times. Also considering this problem with a number of products of the samekind may be interesting as a future study. Considering the limitation in buffers is also suggested.

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